

## FOREIGN TAX STATUS DECLARATION FORM ENTITIES



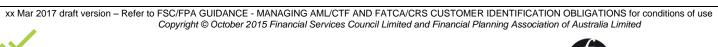
## **GUIDE TO COMPLETING THIS FORM**

- o This form is required for any entity that is required to confirm:
  - a) Its FATCA status (FATCA = Foreign Account Tax Compliance Act),
  - b) Its CRS status (CRS = Common Reporting Standard), or
  - c) Whether it or any of its controlling persons are foreign tax residents, or
- An entity can be a company, trust, partnership, association, registered co-operative or government body.
- Complete one form for each entity. Complete all applicable sections of this form in BLOCK LETTERS.
- o Tax information must be collected from an authorised representative of the entity
- o Contact your licensee if you have any queries.

SEC	TION 1	: ENTITY DETAILS								
1.1	General I	nformation								
Full name										
ACN or other registration number		registration number								
-										
Registered ad Street Suburb		dress or principal place of business (PO Box is NOT acceptable)								
			1	State		Postcode		Country		
Oub	, and			Olalo		1 0010000		Country		
SEC	SECTION 2: TAX INFORMATION									
2.1	2.1 Tax Status									
Tick	✓ one of	the Tax Status boxes below	(if the entity is a Fir	nancial In	stitution, pleas	e provide	all the request	ed information	on below)	
	A Financial Institution (A custodial or depository institution, an investment entity or a specified insurance company for FATCA / CRS purposes)									
Provide the entity's Global Intermediary Identification Number (GIIN), if applicable										
	If the enti	ity is a Financial Institution bu	t does not have a G	IIN, provi	de its FATCA :	status (sel	ect √ ONE of t	the following	statuses)	
	☐ Deemed Compliant Financial Institution									
	□ Ехо	cepted Financial Institution								
Exempt Beneficial Owner										
		n Reporting IGA Financial Ins the Entity is a Trustee-Docum		ition ted Trust, provide the Trustee's GIIN)						
☐ Nonparticipating Financial Institution										
	□ US	US Financial Institution								
	☐ Oth	ner (describe the FATCA statu	us in the box provide	ed)						
	PLEASE ANSWER THE QUESTION BELOW FOR ALL FINANCIAL INSTITUTIONS									
	Is the F	inancial Institution an Investm	ent Entity located in	a Non-P	articipating CF	RS Jurisdio	tion and mana	iged by anotl	her Financial Institution?	
	Yes □ No □									
	If Yes, proceed to section 2.2 (Foreign Controlling Persons). If No, Please go to section 3 to complete the form.									
	CRS Pai	rticipating Jurisdictions are on the	OECD website at http:	<u>//www.oec</u>	d.org/tax/automa	atic-exchan	ge/crs-implemen	tation-and-ass	istance/crs-by-jurisdiction.	
	A Public Listed Company, Majority Owned Subsidiary of a Public Listed Company, Governmental Entity, International Organisation, Central Bank, an Australian Registered Charity or Deceased Estate									
	If the enti	If the entity type is listed above, please proceed to section 3 to complete the form.								
	gross inco	A Foreign Charity or an Active Non-Financial Entity (NFE) (Active NFEs include entities where, during the previous reporting period, less than 50% of their gross income was passive income (e.g. dividends, interests and royalties) and less than 50% of assets held produced passive income. For other types of Active NFEs, refer to Section VIII in the Annexure of the OECD 'Standard for Automatic Exchange of Financial Account Information' at <a href="https://www.oecd.org">www.oecd.org</a> .)								
	If the entity is a Foreign Charity or an Active NFE, please proceed to section 2.3 (Country of Tax Residency).									
	Other (Er	ntities that are not previously listed	d – Passive Non-Finan	cial Entities	s)					
	Please pi	roceed to section 2.2 (Foreign	n Controllina Person	s).						

TAX STATUS DECLARATION FORM ALL ENTITIES

2.2 Foreign Controlling Persons (Individuals)							
Does the entity have any Controlling Persons* who are	tax residents of countries other th	an Austral	ia? Yes 🗆	No 🗆			
* A Controlling Person is any individual who directly or indirectly exercises control over the entity. For a company, this includes any beneficial owners controlling more than 25% of the shares in the company. For a Trust, this includes Trustees, Settlors or Beneficiaries. For a partnership this includes any partners.							
Tax Residency rules differ by country. Whether an individual is tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. For the US, tax residency can be as a result of citizenship or residency.							
If Yes, please provide the details of these individuals below and complete a separate Individual Identification Form for each Controlling Person (unless already provided as Beneficial Owner).							
Full given name(s) Surname		Role (s	Role (such as Director or Senior Managing Official)				
If there are more Controlling Persons, provide details on a	separate sheet and tick this box. $\Box$						
Proceed to section 2.3.							
2.3 Country of Tax Residency							
Is the entity a tax resident of a country other than Austra	alia? Yes □ No						
If Yes, please provide the entities' country of tax residence and tax identification number (TIN) or equivalent below. If the entity is a tax resident of more than one other country, please list all relevant countries below.							
If No, please proceed to section 3 to complete the form.							
A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia or an Employer Identification Number in the US. If a TIN is not provided, please list one of the three reasons specified (A, B or C) for not providing a TIN.							
1. Country	TIN		If no TIN, list	t reason A, B or C			
2. Country	TIN		If no TIN, list	t reason A, B or C			
3. Country	TIN		If no TIN, list	t reason A, B or C			
If there are more countries, provide details on a separate s	heet and tick this box. $\square$ .						
Reason A The country of tax residency does not issue TINs to tax residents  Reason B The entity has not been issued with a TIN  Reason C The country of tax residency does not require the TIN to be disclosed							
SECTION 3: DECLARATION							
IMPORTANT NOTE:  → Complete the Declaration section below (either an authorised representative of the entity or their financial planner to sign)							
By completing and signing this declaration I certify that the information I have provided is true and correct.							
ENTITY DECLARATION (To be completed by an authorised representative of the entity, such as a Director or Trustee)							
Representative Name							
Capacity (Company Director, Trustee, etc.)							
Signature			Date				





OR

## FINANCIAL PLANNER DECLARATION

reason to doubt its reasonableness.							
AFS Licensee Name		AFSL No.					
Representative/ Employee Name		Phone No.					
Signature		Date					

